Housing Authority of the Borough of Highland Park 242 South 6th Avenue Highland Park, NJ 08904

Phone: (732) 572-4420 Fax: (732) 985-6485

Donna Brightman, Executive Director

Request for Proposals

For:

Landscape Contractor

for the

Samuel J. Kronman Apartments

And the

Park Terrace Apartments

Located in

Highland Park, NJ 08904



Welcome to the Highland Park Housing Authority

In 1937 Congress passed the US Housing Act to "alleviate unemployment and to remedy unsafe and unsanitary housing conditions and the acute shortage of decent, safe and sanitary dwelling for families of low income." The legislation was designed to encourage construction, create employment opportunities, and provide shelter for low-income families and clear sub-standard housing. Emphasizing local control of its programs, the Housing Act provided for housing in which rents would relate to the tenant's ability to pay.

The Highland Park Housing, New Jersey, was created on May 15, 1956.

The Authority is composed of 24 units of family public housing, 100 units of senior citizen public housing and 145 units of Section 8 housing.

The purpose of the Highland Park Housing Authority is to create and maintain safe, decent and sanitary housing for those individuals of low to moderate income.

Mission Statement

Our aim is to ensure safe, decent and affordable housing; create opportunities for residents' self-sufficiency and economic independence; and assure fiscal integrity by all program participants.

In order to achieve this mission, we will:

- Recognize the residents as our ultimate customer.
- Improve PHA management and service delivery efforts through oversight, assistance, and selective intervention by highly skilled, diagnostic, and results-oriented field personnel.
- Seek problem-solving partnerships with PHA, resident, community, and government leadership.
- Act as an agent for change when performance is unacceptable and we judge that local leadership is not capable or committed to improvement.
- Efficiently apply limited HUD resources by using risk assessment techniques to focus our oversight efforts

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Housing Authority of the Borough of Highland Park

LANDSCAPE CONTRACTOR

I. INTRODUCTION

The Housing Authority of the Borough of Highland Park, NJ (Authority) will accept sealed proposals from qualified Landscaping Contractors for the maintenance of the grounds at the locations listed in Appendix A.

The Authority shall recommend award to the bidder with the overall most advantageous proposal to maintain all grounds as specified in this Request for Proposals (RFP). The selection shall be based on the contents of the proposal and include, but not limited to, pricing, experience of personnel and company experience with government entities.

Contractors shall provide all necessary labor, material and equipment.

II. TAX EXEMPT STATUS

The Authority is <u>exempt</u> from manufacturer's federal excise tax and states sales tax. Tax exemption certificates will be issued to the contractor upon request.

III. TERM OF CONTRACT

If awarded, the contract resulting from this RFP shall be in effect for the 2015 landscaping season, tentatively from April 1, 2015 through November 30, 2015, and subject to the availability of funds. The Authority reserves the right to extend the contract for (2) two additional landscaping seasons, providing all rates, terms remain the same and both parties are in agreement.

IV. PRE-BID MEETING

A pre-bid meeting shall be set for Tuesday, February 10, 2015 at 10:00 AM. The purpose of a pre-bid meeting is for all interested parties to familiarize themselves with the existing conditions so that a more accurate proposal may be submitted. Attendance is not mandatory but is **strongly recommended**.

V. SCOPE OF WORK

The base price submitted by the contractor shall, at a minimum, include the following:

Cuts: Cuts shall be considered the following: cutting of all grass areas, trimming and edging of all sidewalks and garden bed areas, the removal of all weeds from grass areas, garden beds and sidewalks (in order to prevent weed encroachment) and the blowing of all grass and debris from all sidewalks and paved areas in order to present a neat appearance. Large clumps of grass shall not be left behind.

Cuts are expected to be performed according to the schedule in this section. Additional or fewer cuts shall be billed extra or credited by the per cut breakdown dollar amount indicated on the bid proposal form for each site. If additional cuts are needed, the contractor shall be notified by telephone.

Spring and Fall Cleanups: One spring and one fall cleanup shall be included in the overall base price. The spring clean-up, weather permitting, is expected to be completed by the end of April and shall consist of the following: removal of all garbage and litter from all garden beds, grass areas, sidewalks, bushes, etc.; all garden beds shall be weeded, cleaned and new edges established, removal of all seasonal leaves and branches from grass areas, establish new edges around all sidewalks and curbs and garden beds and the removal of all debris from the property in order to present a clean and neat appearance.

The fall cleanup is mainly the removal of leaves and all other work necessary to create a neat appearance. Only one fall cleanup shall be included as part of the base price. Additional fall cleanups shall be billed separately according to the bid proposal form for each site.

Fertilization: The Authority properties do not have irrigation systems, and as a result, minimal fertilization applications are required. The Authority requests early spring, early summer and late summer fertilization and pesticide protection. Contractors shall describe the fertilization approach they intend to use. Alternate approaches may be described on separate pieces of paper. If the base price is affected, then indicate the new base price on each sheet.

Tree and Shrub Trimming and Pruning: All trees and shrubs up to approximately 10 feet shall be trimmed once during the contract term (1 season). The contractor shall notify the Authority before these services are rendered. All debris is to be removed from the property. Additional trimming, if needed, shall be priced upon request.

Please note that the contractor is responsible for repairing turf, concrete and/or other Authority property that has been damaged during the course of performing any of the services above. If, for example, the contractor is cutting the grass after a heavy rain and ruts are left behind, the contractor will be responsible for filling in the ruts and restoring the site to its original state. Payment to the contractor may be withheld until such time the damages are repaired.

Schedule:

April: 2 cuts (includes the spring clean-up)

May: 4 cuts
June: 4 cuts
July: 3 cuts
August: 3 cuts
September: 3 cuts
October: 3 cuts

November: 1 cut (plus fall cleanup)

VI. <u>COMPENSATION</u>

The bid proposal form on pages 15-16 shall be completed in its entirety and submitted with your bid.

The Authority agrees to pay the Contractor the base price in eight (8) monthly installments monthly (May – December, 2015). All efforts shall be made to pay invoices within 45 days of receipt of the invoice. It is recommended that invoices for a given month be submitted by the 1st of the billing month for payment to

be received by the middle of the next month (i.e., April's invoice dated 4/1/15 shall be mailed out on 5/14/15 provided the invoice is received in early April).

Additional services, if any, as requested by the Authority (see the proposal from) will be billed separately and will be paid within 45 days of receipt of the invoice from the contractor.

VII. METHOD OF AWARD

The bidder whose proposal has been deemed most responsive and advantageous to the Authority shall be recommended for award. Since this is a service-based contract, references will be contacted. All bidders must submit at least three references with their bid in order to be considered. Every effort, including an internet search of the contractor, will be made to ensure the bidder's professionalism, expertise and reliability with landscaping services. If the lowest bidder has poor recommendations for their work performed, the references of the next lowest bidder shall be contacted, and so on. The next lowest bidder *will* be hired if the lowest bidder has poor recommendations.

VIII. INSTRUCTIONS TO BIDDERS

In addition to form HUD-5369-B, Instructions to Offerors Non-Construction, a copy of which is attached herein, all bidders shall follow the following instructions:

1. All proposals shall be hand delivered or mailed to:

Housing Authority of the Borough of Highland Park 242 South Sixth Ave. Highland Park, NJ 08904 ATTN: Donna Brightman

- 2. All proposals shall arrive in a sealed envelope clearly marked "Landscape Contractor Do not open before 2:00 PM on February 24, 2015" on the front, together with the name and address of the firm submitting the proposal.
- 3. Any questions relative to interpretation of the scope of services or the proposal process, shall be addressed in writing to Christina Smolder via email to csmolder@optonline.net.
- 4. Proposers are fully responsible for the timely delivery of their proposals. Late proposals may be returned to the proposer unopened. For the purpose of receiving proposals, New Jersey Time, or EST shall be considered the official time. Telegraph, telephone, facsimile machine, and electronic mail bids will not be accepted under any circumstances.
- 5. Sealed proposals forwarded to the Authority before the time of opening of proposals may be withdrawn at any time before contract award.
- 6. All prices and amounts must be written in ink or machine-printed. Proposals containing any conditions, omissions, unexplained erasures or alterations, items not called for in the proposal form, attachment of additive information not required by the specifications, or irregularities of any kind, may be rejected by the Authority. Any changes, whiteouts, strikeouts, etc. in the bid must be initialed in ink by the person signing the proposal.

- 7. Discrepancies in Proposals-If the amount shown in words and its equivalent in figures do not agree, the written words shall be binding. Ditto marks are not considered writing or printing and shall not be used. In the event that there is a discrepancy between the unit prices and the extended totals, the unit prices shall prevail. In the event there is an error of the summation of the extended totals, the computation by the Authority of the extended totals shall govern.
- 8. Indemnification- Proposer shall indemnify and hold harmless the Authority from all claims, suits or actions, and damages or costs of every name and description to which the Authority may be subjected or put by reason of injury to the person or property of another, or the property of the Authority, resulting from negligent acts or omissions on the part of the contractor, the contractor's agents, servants or subcontractors in the delivery of goods and services, or in the performance of the work under the contract.
- 9. The proposer understands and agrees that its bid is submitted on the basis of the specifications prepared by the Authority. The proposer accepts the obligation to become familiar with these specifications.

IX. STATUTORY AND OTHER REQUIREMENTS

The following are mandatory requirements of this RFP.

- 1. **Mandatory Affirmative Action Agreement**. No firm may be issued a contract unless it complies with the affirmative action provisions of N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27-1 et seq. All bidders are to sign and submit the Affirmative Action Agreement included herein. The full, required regulatory text is included as Appendix A of this bid request. Failure to submit the Affirmative Action Agreement document shall result in the rejection of the bid.
- 2. **Stockholder Disclosure**. N.J.S.A. 52:25-24.2 provides that no corporation or partnership shall be awarded any contract for the performance of any work or the furnishing of any goods and services, unless, prior to the receipt of the bid or accompanying the bid of said corporation or partnership. Bidders shall submit a statement setting forth the names and addresses of all stockholders in the corporation or partnership who own ten percent or more of its stock of any class, or of all individual partners in the partnership who own a ten percent or greater interest therein. The included Stockholder Disclosure Certification document shall be completed and attached to the bid proposal. This requirement applies to all forms of corporations and partnerships, including, but not limited to, limited partnerships, limited liability corporations, limited liability partnerships and Subchapter S corporations. Failure to submit a stockholder disclosure document shall result in rejection of the bid.
- 3. **Proof of Business Registration.** N.J.S.A. 52:32-44 requires that each bidder (contractor) submit proof of business registration prior to bid award. Bidders must however, be registered with the State at the time of the bid opening. Proof of registration shall be a copy of the bidder's Business Registration Certificate (BRC). A BRC is obtained from the New Jersey Division of Revenue. Information on obtaining a BRC is available on the internet at www.nj.gov/njbgs or by phone at (609) 292-1730. A contractor, subcontractor or supplier who fails to provide proof of business registration or provides false business registration information shall be liable to a penalty of \$25 for each day of violation, not to exceed \$50,000 for each business registration not properly provided or maintained under a contract with a contracting agency. Information on the law and its requirements is available by calling (609)

292-9292. Failure to submit a Business Registration Certificate before contract award shall result in rejection of the bid.

- 4. **Non-Collusion Affidavit.** The Affidavit shall be properly executed and submitted with the bid proposal. Failure to submit a non-collusion affidavit shall result in rejection of the bid.
- 5. **Acknowledgement of Receipt of Addendum.** The acknowledgement shall be properly signed and submitted with the bid. Failure to submit an acknowledgement shall result in rejection of the bid.
- 6. **Public Works Contractor Registration.** Contractor must be registered with the State of New Jersey as a Public Works Contractor. More information may be obtained from http://lwd.dol.state.nj.us/labor/wagehour/regperm/pw_cont_reg.html.
- 7. **Qualification questionnaire.** The questionnaire must be properly executed and submitted with the bid. Failure to submit this questionnaire shall result in rejection of the bid.
- 8. Form HUD 5369-C Certifications and Representations of Offerors

Please note that the wage rate for these services shall be \$15.89 for laborer and grounds maintenance:

WD 05-2349 (Rev.-14) was first posted on www.wdol.gov on 06/25/2013

Register of wage determinations under the Service Contract Act by direction of the Secretary of Labor, US Dept of Labor, Employment Standards Administration, Wage and Hour Division, Washington, DC 20210

Wage Determination No.: 2005-2349

State: New Jersey

Area: New Jersey Counties of Hunterdon, Middlesex, Somerset, Warren

END OF SPECIFICATIONS

Instructions to Offerors Non-Construction

U.S. Department of Housing and Urban Development Office of Public and Indian Housing



1. Preparation of Offers

- (a) Offerors are expected to examine the statement of work, the proposed contract terms and conditions, and all instructions. Failure to do so will be at the offeror's risk.
- (b) Each offeror shall furnish the information required by the solicitation. The offeror shall sign the offer and print or type its name on the cover sheet and each continuation sheet on which it makes an entry. Erasures or other changes must be initialed by the person signing the offer. Offers signed by an agent shall be accompanied by evidence of that agent's authority, unless that evidence has been previously furnished to the HA.
- (c) Offers for services other than those specified will not be considered.

2. Submission of Offers

- (a) Offers and modifications thereof shall be submitted in sealed envelopes or packages (1) addressed to the office specified in the solicitation, and (2) showing the time specified for receipt, the solicitation number, and the name and address of the offeror.
- (b) Telegraphic offers will not be considered unless authorized by the solicitation; however, offers may be modified by written or telegraphic notice.
- (c) Facsimile offers, modifications or withdrawals will not be considered unless authorized by the solicitation.

3. Amendments to Solicitations

- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Offerors shall acknowledge receipt of any amendments to this solicitation by
 - (1) signing and returning the amendment;
 - (2) identifying the amendment number and date in the space provided for this purpose on the form for submitting an offer,
 - (3) letter or telegram, or
 - (4) facsimile, if facsimile offers are authorized in the solicitation. The HAHUD must receive the acknowledgment by the time specified for receipt of offers.

4. Explanation to Prospective Offerors

Any prospective offeror desiring an explanation or interpretation of the solicitation, statement of work, etc., must request it in writing soon enough to allow a reply to reach all prospective offerors before the submission of their offers. Oral explanations or instructions given before the award of the contract will not be binding. Any information given to a prospective offeror concerning a solicitation will be furnished promptly to all other prospective offerors as an amendment of the solicitation, if that information is necessary in submitting offers or if the lack of it would be prejudicial to any other prospective offerors.

5. Responsibility of Prospective Contractor

- (a) The HA shall award a contract only to a responsible prospective contractor who is able to perform successfully under the terms and conditions of the proposed contract. To be determined responsible, a prospective contractor must -
 - Have adequate financial resources to perform the contract, or the ability to obtain them;

- (2) Have a satisfactory performance record;
- (3) Have a satisfactory record of integrity and business ethics;
- (4) Have a satisfactory record of compliance with public policy (e.g., Equal Employment Opportunity); and
- (5) Not have been suspended, debarred, or otherwise determined to be ineligible for award of contracts by the Department of Housing and Urban Development or any other agency of the U.S. Government. Current lists of ineligible contractors are available for inspection at the HA/HUD.
- (b) Before an offer is considered for award, the offeror may be requested by the HA to submit a statement or other documentation regarding any of the foregoing requirements. Failure by the offeror to provide such additional information may render the offeror ineligible for award

6. Late Submissions, Modifications, and Withdrawal of Offers

- (a) Any offer received at the place designated in the solicitation after the exact time specified for receipt will not be considered unless it is received before award is made and it -
 - (1) Was sent by registered or certified mail not later than the fifth calendar day before the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th);
 - (2) Was sent by mail, or if authorized by the solicitation, was sent by telegram or via facsimile, and it is determined by the HA/ HUD that the late receipt was due solely to mishandling by the HA/HUD after receipt at the HA;
 - (3) Was sent by U.S. Postal Service Express Mail Next Day Service - Post Office to Addressee, not later than 5:00 p.m. at the place of mailing two working days prior to the date specified for receipt of proposals. The term "working days" excludes weekends and U.S. Federal holidays; or
 - (4) Is the only offer received.
- (b) Any modification of an offer, except a modification resulting from the HA's request for "best and final" offer (if this solicitation is a request for proposals), is subject to the same conditions as in subparagraphs (a)(1), (2), and (3) of this provision.
- (c) A modification resulting from the HA's request for "best and final" offer received after the time and date specified in the request will not be considered unless received before award and the late receipt is due solely to mishandling by the HA after receipt at the HA.
- (d) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent either by registered or certified mail is the U.S. or Canadian Postal Service postmark both on the envelope or wrapper and on the original receipt from the U.S. or Canadian Postal Service. Both postmarks must show a legible date or the offer, modification, or withdrawal shall be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (exclusive of a postage meter machine impression) that is readily identifiable without further action as having been supplied and affixed by employees of the U.S. or Canadian Postal Service on the date of mailing. Therefore, offerors should request the postal clerk to place a hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.
- (e) The only acceptable evidence to establish the time of receipt at the HA is the time/date stamp of HA on the offer wrapper or other documentary evidence of receipt maintained by the HA.

form **HUD-5369-B** (8/93) ref, Handbook 7460.8

Previous edition is obsolete

- (f) The only acceptable evidence to establish the date of mailing of a late offer, modification, or withdrawal sent by Express Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on both the envelope or wrapper and on the original receipt from the U.S. Postal Service. "Postmark" has the same meaning as defined in paragraph (c) of this provision, excluding postmarks of the Canadian Postal Service. Therefore, offerors should request the postal clerk to place a legible hand cancellation bull's eye postmark on both the receipt and the envelope or wrapper.
- (g) Notwithstanding paragraph (a) of this provision, a late modification of an otherwise successful offer that makes its terms more favorable to the HA will be considered at any time it is received and may be accepted.
- (h) If this solicitation is a request for proposals, proposals may be withdrawn by written notice, or if authorized by this solicitation, by telegram (including mailgram) or facsimile machine transmission received at any time before award. Proposals may be withdrawn in person by a offeror or its authorized representative if the identity of the person requesting withdrawal is established and the person signs a receipt for the offer before award. If this solicitation is an invitation for bids, bids may be withdrawn at any time prior to bid opening.

7. Contract Award

(a) The HA will award a contract resulting from this solicitation to the responsible offeror whose offer conforming to the solicitation will be most advantageous to the HA, cost or price and other factors, specified elsewhere in this solicitation, considered.

(b) The HA may

- (1) reject any or all offers if such action is in the HA's interest,
- (2) accept other than the lowest offer,
- (3) waive informalities and minor irregularities in offers received, and (4) award more than one contract for all or part of the requirements stated.
- (c) If this solicitation is a request for proposals, the HA may award a contract on the basis of initial offers received, without discussions. Therefore, each initial offer should contain the offeror's best terms from a cost or price and technical standpoint.

- (d) A written award or acceptance of offer mailed or otherwise furnished to the successful offeror within the time for acceptance specified in the offer shall result in a binding contract without further action by either party. If this solicitation is a request for proposals, before the offer's specified expiration time, the HA may accept an offer, whether or not there are negotiations after its receipt, unless a written notice of withdrawal is received before award. Negotiations conducted after receipt of an offer do not constitute a rejection or counteroffer by the HA.
- (e) Neither financial data submitted with an offer, nor representations concerning facilities or financing, will form a part of the resulting contract.

8. Service of Protest

Any protest against the award of a contract pursuant to this solicitation shall be served on the HA by obtaining written and dated acknowledgment of receipt from the HA at the address shown on the cover of this solicitation. The determination of the HA with regard to such protest or to proceed to award notwithstanding such protest shall be final unless appealed by the protestor.

9. Offer Submission

Offers shall be submitted as follows and shall be enclosed in a sealed envelope and addressed to the office specified in the solicitation. The proposal shall show the hour and date specified in the solicitation for receipt, the solicitation number, and the name and address of the offeror, on the face of the envelope.

It is very important that the offer be properly identified on the face of the envelope as set forth above in order to insure that the date and time of receipt is stamped on the face of the offer envelope. Receiving procedures are: date and time stamp those envelopes identified as proposals and deliver them immediately to the appropriate contracting official, and only date stamp those envelopes which do not contain identification of the contents and deliver them to the appropriate procuring activity only through the routine mail delivery procedure.

[Describe bid or proposal preparation instructions here:]

Highland Park Housing Authority Landscape Contractor

Public Notice is hereby given that the Housing Authority of the Borough of Highland Park (Authority) will receive sealed proposals until 2:00 on Tuesday, February 24, 2015 for a Landscape Contractor for the maintenance of the grounds at the Authority's public housing complexes. The Request for Proposals may be downloaded from www.HighlandParkHousing.org or requested by email to CSmolder@optonline.net. Each proposal must be enclosed in a sealed envelope bearing the name and address of the proposer, endorsed and addresses to the Highland Park Housing Authority, 242 South Sixth Avenue, Highland Park, NJ 08904. A pre-bid meeting is scheduled for Tuesday, February 10, 2015 at 10:00 AM at the same address.

Proposers must be authorized to do business in New Jersey. Any Proposer which is a corporation not chartered under the laws of the State of New Jersey must submit an affidavit certifying that said corporation is authorized to do business in the State of New Jersey. Proposers are required to comply with the requirements of Public Law 1975, c.127 which pertains to "Non-Discrimination" and "Affirmative Actions", and Public Law 1977, c.33, which requires a Statement of Corporate Ownership.

Advertised: Home News – 1/22/2015

MANDATORY AFFIRMATIVE ACTION LANGUAGE

N.J.S.A. 10:5-31 and N.J.A.C. 17:27

(MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE) Goods, Professional Service and General Service Contracts

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Except with respect to affectional or sexual orientation, the contractor will take affirmative action to ensure that such applicants are recruited and employed, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the public agency compliance officer setting for the provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex.

The contractor or subcontractor, where applicable, will send to each labor union or representative or workers with which it has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to employ minority and women workers consistent with the applicable county employment goals established in accordance with N.J.A.C. 17:27-5.2 or a binding determination of the applicable county employment goals determined by the Division, pursuant to N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, labor unions, that it does not discriminate on the basis of age,, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the applicable employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Contract Compliance and EEO as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Contract Compliance & EEO for conducting a compliance investigation pursuant to <u>Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.</u>

AMERICANS WITH DISABILITIES ACT OF 1990

Equal Opportunity for Individuals with Disability

The contractor and the Housing Authority of the Borough of Highland Park (hereafter "owner") do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S121 01 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs, and activities provided or made available by public entities, and the rules and regulations promulgated pursuant there unto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the owner pursuant to this contract, the contractor agrees that the performance shall be in strict compliance with the Act. In the event that the contractor, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the contractor shall defend the owner in any action or administrative proceeding commenced pursuant to this Act. The contractor shall indemnify, protect, and save harmless the owner, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages, of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The contractor shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the owner's grievance procedure, the contractor agrees to abide by any decision of the owner which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the owner, or if the owner incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the contractor shall satisfy and discharge the same at its own expense.

The owner shall, as soon as practicable after a claim has been made against it, give written notice thereof to the contractor along with full and complete particulars of the claim, If any action or administrative proceeding is brought against the owner or any of its agents, servants, and employees, the *owner shall* expeditiously forward or have forwarded to the contractor every demand, complaint, notice, summons, pleading, or other process received by the owner or its representatives.

It is expressly agreed and understood that any approval by the owner of the services provided by the contractor pursuant to this contract will not relieve the contractor of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the owner pursuant to this paragraph.

It is further agreed and understood that the owner assumes no obligation to indemnify or save harmless the contractor, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the contractor expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the contractor's obligations assumed in this Agreement, nor shall they be construed to relieve the contractor from any liability, nor preclude the owner from taking any other actions available to it under any other provisions of the Agreement or otherwise at law.

Highland Park Housing Authority

BUSINESS REGISTRATION CERTIFICATE

Contractor should provide State Division of Revenue issued Business Registration Certificate with the bid submission.

FAILURE TO SUBMIT YOUR BUSINESS REGISTRATION CERTIFICATE PRIOR TO CONTRACT AWARD *WILL* RESULT IN THE DISQUALIFICATION OF YOUR BID!

Highland Park Housing Authority

Landscaping 2015 bid proposal form page 1

This bid proposal form is to be completed in its entirety. Please note that the written words prevail in the event of a discrepancy. Contractors are to provide the following prices for the applicable properties:

\$(,
	words
2. A breakdown of the entire maintenance each property separately for the entire seas	schedule as per section V of the specification son:
Park Terrace Apartments: \$(
	(words)
Samuel J. Kronman Building: \$(_	
	(words)
3. Additional cuts for each property:	
Park Terrace Apartments: \$(
	(words)
Samuel J. Kronman Building: \$(
	(words)
4. Additional fall cleanups for each propert	ty:
Park Terrace Apartments: \$(
	(words)
Samuel J. Kronman Building: \$(
5. Mulching of all garden beds:	
Park Terrace Apartments: \$(_	
	(words)
Samuel J. Kronman Building: \$(
	(words)
Yards of black dyed root mulch included in	ı line 5: yards

Highland Park Housing Authority Landscaping 2015 bid proposal form page 2

SUBMITTED BY:

Company Name:	
Address:	
Phone#:	
Email:	
Federal ID#	
Print Name/Title:	
Signature:	

Highland Park Housing Authority STOCKHOLDER DISCLOSURE CERTIFICATION

This Statement Shall Be Included with Bid Submission

Name of Business	<u>'</u>				
•	at the list below contains the e issued and outstanding stoo	names and home addresses of all stockholder k of the undersigned.	s holding 10% or		
•	I certify that no one stockholder owns 10% or more of the issued and outstanding stock of the undersigned.				
Check the box tha	at represents the type of busi	ness organization:			
	Limited Liability Cership Limited Liability I	_	Proprietorship		
Sign and notariz	e the form below, and, if ne	cessary, complete the stockholder list below	v.		
Stockholders:					
Name:		Name:			
		Home Address:			
Name:		Name:			
Home Address: _		Home Address:			
Subscribed and sv	vorn before me this day o				
(Notary Public)		(Affiant)			
My Commission	expires:	(Print name & title of	affiant)		
		(Corporate Seal)		

Highland Park Housing Authority NON-COLLUSION AFFIDAVIT

State of New Jersey County of Middlesex

I, residi	ng in	
in the County of	(name of municipality) and State of	of full age being duly
sworn according to law on my oath depose and s		or run age, being dary
I am	of the firms of	
I am(title or position)	Of the Hrm Of(name	of firm)
	the bidder making	this Proposal for the bid
entitled (title of bid proposal)	, and that I execute	ed the said proposal with
collusion, or otherwise taken any action in restra project; and that all statements contained in said knowledge that the Highland Park Housing Auth and in the statements contained in this affidavit is I further warrant that no person or selling agency an agreement or understanding for a commission employees or bona fide established commercial	proposal and in this affidavit are true as nority relies upon the truth of the statem in awarding the contract for the said pro- y has been employed or retained to solid in, percentage, brokerage, or contingent or selling agencies maintained by	nd correct, and made with full tents contained in said Proposal bject. Et or secure such contract upon
Subscribed and sworn to before me this day		
Signature	Date	
(Type or print name of affiant under signature)		
Notary public of	-	
My Commission expires		
(Seal)		

Highland Park Housing Authority REFERENCES

List at least 3 references. Please fill completely.

REFERENCE #1
Company Name
Contact Name/Title
Phone Number/Fax Number
REFERENCE #2
Company Name
Contact Name/Title
Phone Number/Fax Number
Reference #3
Company Name
Contact Name/Title
Phone Number/Fax Number

Qualification Questionnaire

Proposal for: Landscape Contractor
Name of Offeror:
(a) It shall be necessary for the offeror to present evidence that he has been in business for at least $\underline{3}$ years in this field and can submit a suitable record of satisfactorily completing similar contracts.
How many years have you been or engaged in business under your present firm or trade name?
Years.
(b) How many years has your organization been performing the work required under this contract?
Years.
(c) If a corporation, answer the following:
Date of incorporation:
State of Incorporation:
President's Name:
Vice President's Name(s):
(d) If a partnership, answer the following:
Date of Organization:
(e) If the contract is awarded to your firm, who will personally supervise the work?
(f) Are there any liens of any character filed against your company at this time? If so, specify the nature and amount of the lien.
(g) Give trade references:

(h) The Authority List the full names Authority properties:	s of employees or entit	ies (sub-contractors) that may service the
The Contractor shall be reaponable	o for the reliability and	I responsibility of the persons or entities
performing the work described herein objection to a proposed person or esubmit an acceptable substitute personal persona	n. The Contractor shall entity, at which time the son or entity. The sam	I responsibility of the persons or entities be notified in writing if the Authority has an e Contractor may withdraw its proposal or ne persons or entity must be used on the the written consent of the Authority.
(j) Give full information concerning all whether private or government contra	•	ogress or completed within the last 3 years,
OWNER/LOCATION	DESCRIPTION	CONTRACT AMOUNT
State of		
County of		
		being first duly sworn deposes and says:
(Individual's Name)		being mist duly sworn deposes and says.
THAT he is		of
(Owner, Officer or Partr	ner)	
(Firm Name)		

requested by the Authority in verification Qualification; and that all answers to the fortrue and correct.	on of the recitals comp	orising this Statement of Offeror's
	(Signature of Offero	or)
Subscribed and sworn to before me, this _	day of	, in the year
	Notary Public	
My Commission expires		

and that he hereby authorizes and requests any person, firm or corporation to furnish any information

Certifications and Representations of Offerors

U.S. Department of Housing and Urban Development Office of Public and Indian Housing

Non-Construction Contract

Public reporting burden for this collection of information is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

This form includes clauses required by OMB's common rule on bidding/offering procedures, implemented by HUD in 24 CFR 85.36, and those requirements set forth in Executive Order 11625 for small, minority, women-owned businesses, and certifications for independent price determination, and conflict of interest. The form is required for nonconstruction contracts awarded by Housing Agencies (HAs). The form is used by bidders/offerors to certify to the HA's Contracting Officer for contract compliance. If the form were not used, HAs would be unable to enforce their contracts. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

1. Contingent Fee Representation and Agreement

- (a) The bidder/offeror represents and certifies as part of its bid/ offer that, except for full-time bona fide employees working solely for the bidder/offeror, the bidder/offeror:
 - (1) [] has, [] has not employed or retained any person or company to solicit or obtain this contract; and
 - (2) [] has, [] has not paid or agreed to pay to any person or company employed or retained to solicit or obtain this contract any commission, percentage, brokerage, or other fee contingent upon or resulting from the award of this contract.
- (b) If the answer to either (a)(1) or (a) (2) above is affirmative, the bidder/offeror shall make an immediate and full written disclosure to the PHA Contracting Officer.
- (c) Any misrepresentation by the bidder/offeror shall give the PHA the right to (1) terminate the resultant contract; (2) at its discretion, to deduct from contract payments the amount of any commission, percentage, brokerage, or other contingent fee; or (3) take other remedy pursuant to the contract.

2. Small, Minority, Women-Owned Business Concern Representation

The bidder/offeror represents and certifies as part of its bid/ offer that it:

- (a) [] is, [] is not a small business concern. "Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualified as a small business under the criteria and size standards in 13 CFR 121.
- (b) [] is, [] is not a women-owned small business concern. "Women-owned," as used in this provision, means a small business that is at least 51 percent owned by a woman or women who are U.S. citizens and who also control and operate the business.
- (c) [] is, [] is not a minority enterprise which, pursuant to Executive Order 11625, is defined as a business which is at least 51 percent owned by one or more minority group members or, in the case of a publicly owned business, at least 51 percent of its voting stock is owned by one or more minority group members, and whose management and daily operations are controlled by one or more such individuals.

For the purpose of this definition, minority group members are: (Check the block applicable to you)

L	J Black Americans	[] Asian Pacific Americans
l] Hispanic Americans	[] Asian Indian Americans
l] Native Americans	[] Hasidic Jewish American

3. Certificate of Independent Price Determination

- (a) The bidder/offeror certifies that-
 - (1) The prices in this bid/offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other bidder/offeror or competitor relating to (i) those prices, (ii) the intention to submit a bid/offer, or (iii) the methods or factors used to calculate the prices offered:
 - (2) The prices in this bid/offer have not been and will not be knowingly disclosed by the bidder/offeror, directly or indirectly, to any other bidder/offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
 - (3) No attempt has been made or will be made by the bidder/ offeror to induce any other concern to submit or not to submit a bid/offer for the purpose of restricting competition.
- (b) Each signature on the bid/offer is considered to be a certification by the signatory that the signatory:
 - (1) Is the person in the bidder/offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or
 - (2) (i) Has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above (insert full name of person(s) in the bidder/offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the bidder/offeror's organization);
 - (ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(l) through (a)(3) above; and

Previous edition is obsolete page 1 of 2 form **HUD-5369-C** (8/93) ref. Handbook 7460.8

- (iii) As an agent, has not personally participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) If the bidder/offeror deletes or modifies subparagraph (a)2 above, the bidder/offeror must furnish with its bid/offer a signed statement setting forth in detail the circumstances of the disclosure.

4. Organizational Conflicts of Interest Certification

- (a) The Contractor warrants that to the best of its knowledge and belief and except as otherwise disclosed, it does not have any organizational conflict of interest which is defined as a situation in which the nature of work under a proposed contract and a prospective contractor's organizational, financial, contractual or other interest are such that:
 - (i) Award of the contract may result in an unfair competitive advantage;
 - (ii) The Contractor's objectivity in performing the contract work may be impaired; or
 - (iii) That the Contractor has disclosed all relevant information and requested the HA to make a determination with respect to this Contract.
- (b) The Contractor agrees that if after award he or she discovers an organizational conflict of interest with respect to this contract, he or she shall make an immediate and full disclosure in writing to the HA which shall include a description of the action which the Contractor has taken or intends to eliminate or neutralize the conflict. The HA may, however, terminate the Contract for the convenience of HA if it would be in the best interest of HA.
- (c) In the event the Contractor was aware of an organizational conflict of interest before the award of this Contract and intentionally did not disclose the conflict to the HA, the HA may terminate the Contract for default.
- (d) The Contractor shall require a disclosure or representation from subcontractors and consultants who may be in a position to influence the advice or assistance rendered to the HA and shall include any necessary provisions to eliminate or neutralize conflicts of interest in consultant agreements or subcontracts involving performance or work under this Contract.

5. Authorized Negotiators (RFPs only)

The offeror represents that the following persons are authorized to negotiate on its behalf with the PHA in connection with this request for proposals: (list names, titles, and telephone numbers of the authorized negotiators):

6. Conflict of Interest

In the absence of any actual or apparent conflict, the offeror, by submission of a proposal, hereby warrants that to the best of its knowledge and belief, no actual or apparent conflict of interest exists with regard to my possible performance of this procurement, as described in the clause in this solicitation titled "Organizational Conflict of Interest."

7. Offeror's Signature

The offeror hereby certifies that the information contained in these certifications and representations is accurate, complete, and current.

Signature & Date:		
Typed or Printed Name	: :	
Title:		

Previous edition is obsolete page 2 of 2 form **HUD-5369-C** (8/93) ref. Handbook 7460.8

Highland Park Housing AuthorityBID DOCUMENT CHECKLIST

BID DOCUMENT CHECKLIST*

Required by the Authority	Submission Requirement	Initial each required entry and if required submit the item
	Bid Proposal Form	
	Stockholder Disclosure Certification	
	Business Registration Certificate	
	Non-Collusion Affidavit	
	References	
	Certificate(s) of Insurance to be submitted by successful bidder within ten (10) days after notification of award	
	Public Works Contractor Registration Certificate	
	Qualification Questionnaire	
	Form HUD-5369-C, Certifications and Representations of Offerors, Non-Construction	

*Please submit this page as the first page of your bid with the Bid Proposal Form as your second page.

APPENDIX A

List of Locations / Buildings

1. Samuel J. Kronman Apartments 242 South Sixth Avenu Highland Park, NJ 08904

One six-story high rise building housing 100 low income senior and / or disabled persons

Areas to be serviced: All areas around four sides of building including 'back yard' sloped area(s) leading to adjacent properties any trees from adjacent properties that may be hanging in on Authority property.

2. Park Terrace Apartments 205-219 South Sixth Avenue 602-616 Benner Street 206-220 South Seventh Avenue Highland Park, NJ 08904

Three 3 buildings housing 24 units for low income families:

Areas to be serviced: All Authority property surrounding all three buildings including any trees from adjacent properties that may be hanging in on Authority property.

APPENDIX B

Form of Contract For Landscape Contractor

This AGREEMENT made this day of _	in the year 2015 by and between
(Na	ame of Contractor) (Address)
hereinafter called the "Contractor," and the	
,	of the Borough of Highland Park Ave., Highland Park, NJ 08904

hereinafter called the "Authority".

WITNESSETH that the Contractor and the Authority for the consideration stated herein mutually agree as follows:

Article 1. Statement of Services. The Contractor shall furnish all labor materials, tools and equipment and shall perform and complete all work required for:

Cuts: Cuts shall be considered the following: cutting of all grass areas, trimming and edging of all sidewalks and garden bed areas, the removal of all weeds from grass areas, garden beds and sidewalks (in order to prevent weed encroachment) and the blowing of all grass and debris from all sidewalks and paved areas in order to present a neat appearance. Large clumps of grass shall not be left behind.

Cuts are expected to be performed according to the schedule in this section. Additional or fewer cuts shall be billed extra or credited by the per cut breakdown dollar amount indicated on the bid proposal form for each site. If additional cuts are needed, the contractor shall be notified by telephone.

Spring and Fall Cleanups: One spring and one fall cleanup shall be included in the overall base price. The spring clean-up, weather permitting, is expected to be completed by the end of April and shall consist of the following: removal of all garbage and litter from all garden beds, grass areas, sidewalks, bushes, etc.; all garden beds shall be weeded, cleaned and new edges established, removal of all seasonal leaves and branches from grass areas, establish new edges around all sidewalks and curbs and garden beds and the removal of all debris from the property in order to present a clean and neat appearance.

The fall cleanup is mainly the removal of leaves and all other work necessary to create a neat appearance. Only one fall cleanup shall be included as part of the base price. Additional fall cleanups shall be billed separately according to the bid proposal form for each site.

Fertilization: The Authority properties do not have irrigation systems, and as a result, minimal fertilization applications are required. The Authority requests early spring, early summer and late summer fertilization and pesticide protection. The following fertilization approach shall be utilized:

TBD

Tree and Shrub Trimming and Pruning: All trees and shrubs up to approximately 10 feet shall be trimmed once during the contract term (1 season). The contractor shall notify the Authority before these services are rendered. All debris is to be removed from the property. Additional trimming, if needed, shall be priced upon request.

Please note that the contractor is responsible for repairing turf, concrete and/or other Authority property that has been damaged during the course of performing any of the services above. If, for example, the contractor is cutting the grass after a heavy rain and ruts are left behind, the contractor will be responsible for filling in the ruts and restoring the site to its original state. Payment to the contractor may be withheld until such time the damages are repaired.

Schedule:

April: 2 cuts (includes the spring clean-up)

May: 3 cuts
June: 4 cuts
July: 4 cuts
August: 3 cuts
September: 3 cuts
October: 3 cuts

November: 1 cut (plus fall cleanup)

Article 2. Term of Contract. This contract shall extend for a period of one landscape season, approximately April 1, 2015 through November 30, 2015. The contract shall be renewable for two additional seasons at the sole option of the Authority at the same rates stated in Article 5 below.

Article 3. Performance of Work. The Authority shall have the sole right and discretion to order work under his contract. The Authority reserves the right to award work of a similar nature, through other procurement methods, to other contractors.

Work shall be performed during the Authority's standard business hours, 8AM through 4:30PM, Monday through Friday, unless otherwise agreed upon between the contractor and the Authority.

Article 4. Work Requirements and Contractor's Responsibility. The Contractor shall be responsible for furnishing all materials, equipment, labor and transportation necessary to perform the work. The Contractor shall be equipped with all tools and equipment ordinarily and incidentally used in the performance of landscaping services as requested herein.

The Contractor shall not sub-contract any work under this contract without express prior written approval of the Authority.

The Contractor shall at all times keep the work area orderly and free from accumulations of waste materials. After completing the work, the Contractor shall remove all equipment materials and tools that are not the property of the Authority and leave the work area in a neat, clean and orderly condition. The Contractor shall remove and legally dispose of all debris off-site.

The Contractor shall be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence, and shall take all necessary health and safety precautions to protect the work, the workers, the public, and the property of others. The Contractor shall hold and save the Authority, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.

Article 5. Rates and Payments. The Authority shall pay the Contractor for all work, inclusive of all
costs for materials, labor, tools and equipment dollars and
cents (\$) per season, payable in eight (8) monthly installments of
dollars andcents per month for the months of April through
November 2015.
Additional cuts as defined in the specifications shall be billed at the rate of
(\$(\$) per service at Park Terrace Apartments and((
building.
Additional fall cleanups as defined in the specifications shall be billed at the rate of (\$) per service at Park Terrace Apartments and
() per service at the Samuel J Kronman building.
Mulching, when requested by the Authority, of all garden beds at both locations shall be billed at the rate of () which shall include
approximately yards of black-dyed root mulch.
The Authority shall make newments not more frequently then monthly upon the completion of work by

The Authority shall make payments not more frequently than monthly upon the completion of work by the Contractor and the presentation of an invoice. Payment shall be due within 45 days of receipt of the invoice by the Authority.

Article 6. Insurance. Before performing any work, the Contractor shall furnish the Authority with certificates of insurance showing the following insurance is in force and will insure all operations under the Contract:

- 1. Workers' Compensation, in accordance with New Jersey Workers' Compensation laws.
- 2. Commercial General Liability with a combined single limit for bodily injury and property damage of not less than \$1,000,000.00 per occurrence to protect the Contractor and the Authority against claims for bodily injury or death and damage to the property of others. This shall cover the use of all equipment, hoists, and vehicles on the site(s) not covered by Automobile Liability under (3) below. If the Contractor has a "claims-made" policy, then the following additional requirements apply: the policy must provide a "retroactive date" which must be on or before the execution date of the Contract, and the extended reporting period may not be less than five years following the completion date of the Contract.
- 3. Automobile Liability on owned on owned and non-owned motor vehicles used on the site(s) or in connection therewith for a combined single limit for bodily injury and property damage of not less than \$500,000.00 per occurrence.

All Insurance shall be carried with companies which are financially responsible and admitted to do business in the State of New Jersey. If any such insurance is due to expire during the contract period, the Contractor shall not permit the coverage to lapse and shall furnish evidence of coverage to the Authority. All certificates of insurance, as evidence of coverage, shall provide that no coverage maybe canceled or non-renewed by the insurance company until at least 30 days prior written notice has been given to the Authority.

Article 7. New Jersey Business Registration Requirements. The contractor shall provide to the Authority proof of the contractor's business registration with the New Jersey Division of Taxation. This document is required component of the proposal package.

The contractor shall provide written notice to its subcontractors and suppliers of the responsibility to submit proof of business registration to the contractor. The requirement of proof of business registration extends down through all levels (tiers) of the project.

Before final payment on the contract is made by the Authority, the contractor shall submit an accurate list and the proof of business registration of each subcontractor or supplier used in the fulfillment of the contract, or shall attest that no subcontractors were used.

For the term of this Agreement, the contractor and each of its affiliates and a subcontractor and each of its affiliates [N.J.S.A. 52:32-49(g)(3)] shall collect and remit to the Director, New Jersey Division of Taxation, the use tax pursuant to the Sales and Use Tax Act on all sales of tangible personal property delivered into this State, regardless of whether the tangible personal property is intended for a contract with a contracting agency.

A business organization that fails to provide a copy of a business registration as required pursuant to section 1 of P.L. 2001, c.34 (C.52:32-44 et al.) or subsection e. or f. of section 92 of P.L. 1977, c.110 (C.5:12-92), or that provides false business registration under the requirements of either of those sections, shall be liable for a penalty of \$25 for each day of violation, not to exceed \$50,000 for each business registration copy not properly provided under a contract with a contracting agency.

Article 8. Contract Documents. Contract Documents shall consist of the following component parts:

- 1. This instrument;
- 2. Form HUD 5370-C Section II:
- 3. The Request for Proposals dated 2/24/15;
- 4. The proposal submitted by the contractor dated 2/24/15;
- 5. Addenda (if any).

This instrument together with the document enumerated in this Article form the Contract, and they are fully a part of the Contract as if hereto attached or herein repeated. In the event that any provision in one of the component parts of the Contract conflicts with any provision of any other component part, the provision in the component part first enumerated in this Article 8 shall govern, except as otherwise specifically stated.

IN WITNESS WHEREOF, the parties hereto have caused this instrument to be executed the date and year first above written.

In the presence of:	
	by
	(Name)
	(Title)
	(Company Name)
	(Phone Number)
In the presence of:	
	by
	Donna F. Brightman
	Executive Director
	Housing Authority of the Borough of Highland Park 732-572-4420

General Conditions for Non-Construction Contracts

Section II - (With Maintenance Work)

U.S. Department of Housing and Urban Development

Office of Public and Indian Housing Office of Labor Relations OMB Approval No. 2577-0157 (exp. 11/30/2008)

Public Reporting Burden for this collection of information is estimated to average 0.08 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600; and to the Office of Management and Budget, Paperwork Reduction Project (2577-0157), Washington, D.C. 20503. Do not send this completed form to either of these addressees.

Applicability. This form HUD-5370C has 2 Sections. These Sections must be inserted into non-construction contracts as described below:

- Non-construction contracts (without maintenance) greater than \$100,000 - use Section I;
- Maintenance contracts (including nonroutine maintenance as defined at 24 CFR 968.105) greater than \$2,000 but not more than \$100,000 - use Section II; and
- Maintenance contracts (including nonroutine maintenance), greater than \$100,000 – use Sections I and II.

Section II – Labor Standard Provisions for all Maintenance Contracts greater than \$2,000

Minimum Wages

- (a) All maintenance laborers and mechanics employed under this Contract in the operation of the project(s) shall be paid unconditionally and not less often than semi-monthly, and without subsequent deduction (except as otherwise provided by law or regulations), the full amount of wages due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Housing and Urban Development which is attached hereto and made a part hereof. Such laborers and mechanics shall be paid the appropriate wage rate on the wage determination for the classification of work actually performed, without regard to skill. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination, including any additional classifications and wage rates approved by HUD under subparagraph 1(b), shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.
- (b) (i) Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the Contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate only when the following criteria have been met:
 - The work to be performed by the classification required is not performed by a classification in the wage determination;
 - (2) The classification is utilized in the area by the industry; and
 - (3) The proposed wage rate bears a reasonable relationship to the wage rates contained in the wage determination.
 - (ii) The wage rate determined pursuant to this paragraph shall be paid to all workers performing work

in the classification under this Contract from the first day on which work is performed in the classification.

2. Withholding of funds

The Contracting Officer, upon his/her own action or upon request of HUD, shall withhold or cause to be withheld from the Contractor under this Contract or any other contract subject to HUD-determined wage rates, with the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics employed by the Contractor or any subcontractor the full amount of wages required by this clause. In the event of failure to pay any laborer or mechanic employed under this Contract all or part of the wages required under this Contract, the Contracting Officer or HUD may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment or advance until such violations have ceased. The Public Housing Agency or HUD may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.

3. Records

- (a) The Contractor and each subcontractor shall make and maintain for three (3) years from the completion of the work records containing the following for each laborer and mechanic:
 - (i) Name, address and Social Security Number;
 - (ii) Correct work classification or classifications;
 - (iii) Hourly rate or rates of monetary wages paid;
 - (iv) Rate or rates of any fringe benefits provided;(v) Number of daily and weekly hours worked;
 - (vi) Gross wages earned;
 - (vii) Any deductions made; and
 - (viii) Actual wages paid.
- (b) The Contractor and each subcontractor shall make the records required under paragraph 3(a) available for inspection, copying, or transcription by authorized representatives of HUD or the HA and shall permit such representatives to interview employees during working hours on the job. If the Contractor or any subcontractor fails to make the required records available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance or guarantee of funds.

4. Apprentices and Trainees

- (a) Apprentices and trainees will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in:
 - (i) A bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration (ETA), Office of

Section II - Page 1 of 3

form **HUD-5370-C** (10/2006)

Apprenticeship Training, Employer and Labor Services (OATELS), or with a state apprenticeship agency recognized by OATELS, or if a person is employed in his/her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a state apprenticeship agency (where appropriate) to be eligible for probationary employment as an apprentice;

- (ii) A trainee program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, ETA, or
- (iii) A training/trainee program that has received prior approval by HUD.
- (b) Each apprentice or trainee must be paid at not less than the rate specified in the registered or approved program for the apprentice's/trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices and trainees shall be paid fringe benefits in accordance with the provisions of the registered or approved program. If the program does not specify fringe benefits, apprentices/trainees must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification.
- (c) The allowable ratio of apprentices or trainees to journeyman on the job site in any craft classification shall not be greater than the ratio permitted to the employer as to the entire work force under the approved program.
- (d) Any worker employed at an apprentice or trainee wage rate who is not registered in an approved program, and any apprentice or trainee performing work on the job site in excess of the ratio permitted under the approved program, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed.
- (e) In the event OATELS, a state apprenticeship agency recognized by OATELS or ETA, or HUD, withdraws approval of an apprenticeship or trainee program, the employer will no longer be permitted to utilize apprentices/trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

5. Disputes concerning labor standards

- (a) Disputes arising out of the labor standards provisions contained in Section II of this form HUD-5370-C, other than those in Paragraph 6, shall be subject to the following procedures. Disputes within the meaning of this paragraph include disputes between the Contractor (or any of its subcontractors) and the HA, or HUD, or the employees or their representatives, concerning payment of prevailing wage rates or proper classification. The procedures in this section may be initiated upon HUD's own motion, upon referral of the HA, or upon request of the Contractor or subcontractor(s).
 - (i) A Contractor and/or subcontractor or other interested party desiring reconsideration of findings of violation by the HA or HUD relating to the payment of straight-time prevailing wages or classification of work shall request such reconsideration by letter postmarked within 30 calendar days of the date of notice of findings issued by the HA or HUD. The request shall set

- forth those findings that are in dispute and the reasons, including any affirmative defenses, with respect to the violations. The request shall be directed to the appropriate HA or HUD official in accordance with instructions contained in the notice of findings or, if the notice does not specify to whom a request should be made, to the Regional Labor Relations Officer (HUD).
- (ii) The HA or HUD official shall, within 60 days (unless otherwise indicated in the notice of findings) after receipt of a timely request for reconsideration, issue a written decision on the findings of violation. The written decision on reconsideration shall contain instructions that any appeal of the decision shall be addressed to the Regional Labor Relations Officer by letter postmarked within 30 calendar days after the date of the decision. In the event that the Regional Labor Relations Officer was the deciding official on reconsideration, the appeal shall be directed to the Director, Office of Labor Relations (HUD). Any appeal must set forth the aspects of the decision that are in dispute and the reasons, including any affirmative defenses, with respect to the violations.
- (iii) The Regional Labor Relations Officer shall, within 60 days (unless otherwise indicated in the decision on reconsideration) after receipt of a timely appeal, issue a written decision on the findings. A decision of the Regional Labor Relations Officer may be appealed to the Director, Office of Labor Relations, by letter postmarked within 30 days of the Regional Labor Relations Officer's decision. Any appeal to the Director must set forth the aspects of the prior decision(s) that are in dispute and the reasons. The decision of the Director, Office of Labor Relations, shall be find.
- (b) Disputes arising out of the labor standards provisions of paragraph 6 shall not be subject to paragraph 5(a) of this form HUD-5370C. Such disputes shall be resolved in accordance with the procedures of the U.S. Department of Labor set forth in 29 CFR Parts 5, 6 and 7. Disputes within the meaning of this paragraph 5(b) include disputes between the Contractor (or any of its subcontractors) and the HA, HUD, the U.S. Department of Labor, or the employees or their representatives.

6. Contract Work Hours and Safety Standards Act

The provisions of this paragraph 6 are applicable only where the amount of the prime contract exceeds \$100,000. As used in this paragraph, the terms "laborers" and "mechanics" includes watchmen and quards

- (a) Overtime requirements. No Contractor or subcontractor contracting for any part of the Contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
- (b) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in paragraph 6(a), the Contractor and any

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subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to the District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the provisions set forth in paragraph (a) of this clause, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by provisions set forth in paragraph (a) of this clause.

(c) Withholding for unpaid wages and liquidated damages. HUD or its designee shall upon its own action or upon written request of an authorized representative of the U.S. Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such Contract or any federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime Contractor such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in paragraph (b) of this clause.

7. Subcontracts

The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this Section II and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the provisions contained in these clauses.

8. Non-Federal Prevailing Wage Rates

Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under state law to be prevailing, with respect to any employee in any trade or position employed under the Contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate, exclusive of any fringe benefits, exceeds the applicable wage rate determined by the Secretary of HUD to be prevailing in the locality with respect to such trade or position.